

Units of K -theory and their applications in operator algebras.

Ulrich Pennig¹

11th September 2026

Interactions between operator algebras, K -theory and homotopy theory

¹Cardiff University
PennigU@cardiff.ac.uk

Topological K -theory and its units

Properties of complex topological K -theory

- $K^0(X)$ is the **group completion** of $(\mathcal{V}ect_{\mathbb{C}}(X), \oplus)$,
(for X a finite CW-complex)
- $K^1(X) := [X, U]$, where $U = \operatorname{colim}_n U(n)$,
- $X \mapsto K^*(X)$ is a **cohomology theory**,
- it has a **multiplicative structure** induced by $\otimes$,
- **Bott periodicity**: $K^n(X) \cong K^{n+2}(X)$.

Theorem (Joachim, Higson-Guentner)

*Topological K -theory is represented by an E_{∞} -ring spectrum KU
(even an orthogonal commutative ring spectrum).*

Topological K -theory and its units (continued)

The space of units $GL_1(KU)$ is defined by the pullback diagram

$$\begin{array}{ccc} GL_1(KU) & \longrightarrow & \Omega^\infty KU \simeq BU \times \mathbb{Z} \\ \downarrow & & \downarrow \\ \mathbb{Z}/2\mathbb{Z} \cong GL_1(\pi_0(\Omega^\infty KU)) & \longrightarrow & \pi_0(\Omega^\infty KU) \cong \mathbb{Z} \end{array}$$
$$GL_1(KU) \simeq (BU \times \mathbb{Z}/2\mathbb{Z})_\otimes$$

Theorem (Segal, May, Ando-Blumberg-Hopkins-Gepner-Rezk)

Let E be an E_∞ -ring spectrum. Then there exists a spectrum $gl_1(E)$ such that

$$\Omega^\infty gl_1(E) \simeq GL_1(E)$$

$BGL_1(KU)$ and twists of K -theory

Interpretation of $gl_1(KU)^k(X)$ for $k \in \{0, 1\}$

- $gl_1(KU)^0(X) = [X, GL_1(KU)] \xleftarrow{1:1} \text{virtual line bundles}/X$
- $gl_1(KU)^1(X) = [X, BGL_1(KU)]$ classifies “bundles of free KU -modules of rank 1 over X ”, known as the twists of K -theory

Any hermitian line bundle over X gives an invertible element in $K^0(X)$.

The map $BU(1) \rightarrow GL_1(KU)$ deloops to

$$BBU(1) \simeq K(\mathbb{Z}, 3) \rightarrow BGL_1(KU) .$$

Twists that factor through this map are called **geometric twists**.

Strongly self-absorbing C^* -algebras

Definition (Toms-Winter)

A separable, unital C^* -algebra D is called **strongly self-absorbing** if $\exists$ an isomorphism $\psi: D \rightarrow D \otimes D$ and a sequence $(u_n)_{n \in \mathbb{N}}$ of elements in $U(D \otimes D)$ with

$$\lim_{n \rightarrow \infty} \|\psi(d) - u_n(d \otimes 1_D)u_n^*\| = 0 .$$

Strongly self-absorbing C^* -algebras in the UCT-class:

A commutative diagram illustrating relationships between various C^* -algebras. The diagram consists of two rows of nodes connected by arrows. The top row contains $\mathbb{Z}$, $M_p^{\otimes \infty}$, and $\mathcal{Q}$. The bottom row contains $\mathcal{O}_\infty$, $\mathcal{O}_\infty \otimes M_p^{\otimes \infty}$, and $\mathcal{O}_\infty \otimes \mathcal{Q}$. On the far left, $\mathbb{C}$ has two arrows pointing to $\mathbb{Z}$ and $\mathcal{O}_\infty$. On the far right, $\mathcal{Q}$ and $\mathcal{O}_\infty \otimes \mathcal{Q}$ have two arrows pointing to $\mathcal{O}_2$. The diagram shows the following commutative squares and paths:

- $\mathbb{C} \rightarrow \mathbb{Z} \rightarrow M_p^{\otimes \infty} \rightarrow \mathcal{Q}$
- $\mathbb{C} \rightarrow \mathcal{O}_\infty \rightarrow \mathcal{O}_\infty \otimes M_p^{\otimes \infty} \rightarrow \mathcal{O}_\infty \otimes \mathcal{Q}$
- Vertical maps: $\mathbb{Z} \rightarrow \mathcal{O}_\infty$, $M_p^{\otimes \infty} \rightarrow \mathcal{O}_\infty \otimes M_p^{\otimes \infty}$, $\mathcal{Q} \rightarrow \mathcal{O}_\infty \otimes \mathcal{Q}$
- Diagonal maps: $\mathbb{Z} \rightarrow \mathcal{O}_\infty$, $M_p^{\otimes \infty} \rightarrow \mathcal{O}_\infty \otimes M_p^{\otimes \infty}$, $\mathcal{Q} \rightarrow \mathcal{O}_\infty \otimes \mathcal{Q}$
- Final map: $\mathcal{Q} \rightarrow \mathcal{O}_2$ and $\mathcal{O}_\infty \otimes \mathcal{Q} \rightarrow \mathcal{O}_2$

Topological K -theory and its units

KU^D -theory

Let D be a strongly self-absorbing C^* -algebra. The functor $X \mapsto K_*(C(X) \otimes D)$ is a **multiplicative cohomology theory**,

Theorem (Joachim, Dadarlat-P, Land-Nikolaus, Bunke)

The functor $X \mapsto K_(C(X) \otimes D)$ is represented by an E_∞ -ring spectrum KU^D (even a commutative symmetric ring spectrum).*

Examples

- $KU^{\mathbb{Z}} \simeq KU^{\mathcal{O}_\infty} \simeq KU^{\mathbb{C}} \simeq KU$
- $KU^{M_p^{\otimes \infty}} \simeq KU^{M_p^{\otimes \infty} \otimes \mathcal{O}_\infty} \simeq KU[P^{-1}]$

Dixmier-Douady theory for strongly self-abs. algebras

Definition

Let B be a C^* -algebra. A **bundle of C^* -algebras** $\mathcal{A} \rightarrow X$ with fibre B is a fibre bundle with structure group $\text{Aut}(B)$. Let $\text{Bun}_B(X)$ be the isomorphism classes.

Theorem (Dixmier-Douady)

$$\text{Bun}_{\mathbb{K}}(X) \cong [X, BPU(H)] \cong H^3(X, \mathbb{Z}) .$$

Let D be a **strongly self-absorbing** C^* -algebra.

Theorem (Dadarlat-P.)

$\text{Bun}_{D \otimes \mathbb{K}}(X)$ is a group with respect to $\otimes_X$. If $D \neq \mathbb{C}$, then

$$\text{Bun}_{D \otimes \mathbb{K}}(X) \cong [X, B\text{Aut}(D \otimes \mathbb{K})] \cong [X, BGL_1(KU^D)_+] .$$

How strongly self-absorbing helps

Theorem (Dadarlat-Winter)

D is strongly self-absorbing iff there exists an isomorphism $\psi: D \rightarrow D \otimes D$ and a path $u: [0, 1) \rightarrow U(D \otimes D)$ such that

$$\lim_{t \rightarrow 1} \|\psi(d) - u(t)(d \otimes 1)u(t)^*\| = 0 .$$

Lemma (Dadarlat-P.)

There is a continuous path $\psi: [0, 1] \rightarrow \text{hom}(D, D^{\otimes 2})$ such that

1 $\psi_0(d) = d \otimes 1 \quad , \quad \psi_1(d) = 1 \otimes d$

2 $\text{Im}(\psi|_{(0,1)}) \subset \text{iso}(D, D^{\otimes 2})$

How strongly self-absorbing helps (continued)

Define $H: \text{Aut}(D) \times [0, 1] \rightarrow \text{Aut}(D)$ by

$$H(\alpha, t) = \begin{cases} \alpha & \text{for } t = 0, \\ \psi_t^{-1} \circ (\alpha \otimes \text{id}) \circ \psi_t & \text{for } 0 < t < 1, \\ \text{id} & \text{for } t = 1, \end{cases}$$

Theorem (Dadarlat-P.)

- 1 $\text{Aut}(D)$ is contractible.
- 2 $\text{Aut}_{1 \otimes e}(D \otimes \mathbb{K})$ is contractible.
- 3 $\text{Aut}_0(D \otimes \mathbb{K}) \simeq BU(D)$.

An ∞ -categorical perspective

Definition (Lurie)

An **idempotent object** x in a symmetric monoidal ∞ -category $\mathcal{C}$ with tensor unit $\mathbf{1}$ is a morphism $\varepsilon: \mathbf{1} \rightarrow x$ such that $\varepsilon \otimes \text{id}_x: x \simeq \mathbf{1} \otimes x \rightarrow x \otimes x$ is an equivalence.

Let $C^*\text{Alg}_h$ be the ∞ -category obtained as the localisation of the category of C^* -algebras at the homotopy equivalences.

Examples

- 1 Let D be strongly self-absorbing.
The unit map $\varepsilon: \mathbb{C} \rightarrow D$ is an **idempotent object** in $C^*\text{Alg}_h$.
- 2 Let $e \in \mathbb{K}$ be a rank 1 projection.
The map $\varepsilon: \mathbb{C} \rightarrow \mathbb{K}$ with $\varepsilon(1) = e$ is an **idempotent** in $C^*\text{Alg}_h$.

An ∞ -categorical perspective (continued)

Let $\mathcal{C}$ be a stably symmetric monoidal ∞ -category with unit $\mathbf{1}$. There are **two algebra structures** on $\mathrm{map}_{\mathcal{C}}(\mathbf{1}, \mathbf{1})$.

Lemma (Bunke-Land-P.)

- 1 *The two algebra structures on $\mathrm{map}_{\mathcal{C}}(\mathbf{1}, \mathbf{1})$ agree.*
- 2 *$\mathrm{map}_{\mathcal{C}}(\mathbf{1}, \mathbf{1})$ is a commutative algebra in Sp .*
- 3 *If $\varepsilon: \mathbf{1} \rightarrow x$ is an idempotent, then $L_x := \cdot \otimes x: \mathcal{C} \rightarrow \mathcal{C}$ is a localisation functor.*
- 4 *$\mathrm{map}_{\mathcal{C}}(x, x)$ is a commutative algebra in Sp .*

Let D be strongly self-absorbing. Apply to $\mathcal{C} = \mathcal{KK}$ and $x = D$ to get

$$\mathrm{End}(D \otimes \mathbb{K}) \longrightarrow \Omega^{\infty} \mathcal{KK}(D, D) \xrightarrow{\cong} \Omega^{\infty} \mathcal{KK}(\mathbb{C}, D) \simeq \Omega^{\infty} KU^D .$$

and therefore also $\mathrm{Aut}(D \otimes \mathbb{K}) \rightarrow GL_1(KU^D)_+$.

Group actions and G -kernels

Let G be a countable discrete group and let A be a **unital** C^* -algebra. An **action** of G on A is a homomorphism

$$G \rightarrow \text{Aut}(A) .$$

Consider the following related notion:

Definition

A **G -kernel** (or **anomalous action**) is a group homomorphism

$$\bar{\alpha}: G \rightarrow \text{Out}(A) ,$$

where $\text{Out}(A) = \text{Aut}(A)/\text{Inn}(A)$ is the group of **outer automorphisms**.

Cocycle actions

Definition

A *cocycle action* (α, u) of G on A consists of two maps

$$\alpha: G \rightarrow \text{Aut}(A) \quad , \quad u: G \times G \rightarrow U(A) \quad ,$$

such that for all $g, h, k \in G$

$$\begin{aligned} \alpha_g \circ \alpha_h &= \text{Ad}(u_{g,h}) \circ \alpha_{gh} \quad , \\ \alpha_g(u_{h,k})u_{g,hk} &= u_{g,h}u_{gh,k} \quad . \end{aligned}$$

- Second equation can be derived from associativity, compare

$$(\alpha_g \circ \alpha_h) \circ \alpha_k \quad \text{and} \quad \alpha_g \circ (\alpha_h \circ \alpha_k) \quad .$$

The lifting obstruction

Assume $Z(A) = \mathbb{C}$. Let $\bar{\alpha}: G \rightarrow \text{Out}(A)$ be a G -kernel.

- Lift $\bar{\alpha}$ to a **map** $\alpha: G \rightarrow \text{Aut}(A)$.
- For $g, h \in G$ we have **unitaries** $u_{g,h} \in U(A)$ such that

$$\alpha_g \circ \alpha_h = \text{Ad}(u_{g,h}) \circ \alpha_{gh} .$$

- For every triple $g, h, k \in G$ we have **$\omega_{g,h,k} \in \mathbb{T}$** such that

$$\alpha_g(u_{h,k})u_{g,hk} = \omega_{g,h,k} u_{g,h}u_{gh,k} .$$

- The map $\omega: G \times G \times G \rightarrow \mathbb{T}$ is a **cocycle** representing an element

$$\text{ob}(\bar{\alpha}) = [\omega] \in H^3(G, \mathbb{T}) .$$

Lemma

The **class** $\text{ob}(\bar{\alpha})$ does not depend on the choice of unitaries.
It vanishes if and only if $\bar{\alpha}$ **lifts to a cocycle action** (α, u) .

G -kernels on the hyperfinite II_1 -factor

Theorem (Connes, Jones, Ocneanu)

Let $A = R$ be the *hyperfinite II_1 -factor* and let G be a discrete amenable group.

1 The obstruction

$$\text{ob}(\bar{\alpha}) \in H^3(G, \mathbb{T})$$

is a *complete invariant* for injective G -kernels up to conjugacy.

2 For every class $[\omega] \in H^3(G, \mathbb{T})$ there is an injective G -kernel $\bar{\alpha}: G \rightarrow \text{Out}(R)$ such that $\text{ob}(\bar{\alpha}) = [\omega]$.

Group actions and classifying spaces

Theorem (Izumi, Meyer, Gabe-Szabo)

- D *strongly self-absorbing Kirchberg algebra*
- G countable discrete *amenable* group
- BG has a *finite CW-complex* model

$$\{\text{outer cocycle actions of } G \text{ on } D\} / \sim_{cc} \xleftarrow{1:1} [BG, B\text{Aut}_0(D \otimes \mathbb{K})]$$

Theorem (Dadarlat-P.)

$[BG, B\text{Aut}_0(D \otimes \mathbb{K})]$ is group with respect to $\otimes_X$. It is the first group of a cohomology theory $\bar{E}_D^*(\cdot)$ with

$$\bar{E}_D^1(BG) = [BG, B\text{Aut}_0(D \otimes \mathbb{K})] \cong [BG, BSL_1(KU^D)] .$$

Theorem (Kamikawa, Evans-P.)

- D unital separable C^* -algebra
- G compact group

Let $\gamma: G \curvearrowright D$ be a strongly self-absorbing action such that

- 1 D^γ is K_1 -injective,
- 2 $(D \otimes \mathbb{K})^{\gamma \otimes \text{id}_{\mathbb{K}}}$ has the cancellation property.

Then $\text{Aut}_G(D \otimes \mathbb{K})$ is an infinite loop space, such that

$$E_{G,D}^0(X) = [X, \text{Aut}_G(D \otimes \mathbb{K})] \quad , \quad E_{G,D}^1(X) = [X, B\text{Aut}_G(D \otimes \mathbb{K})] \quad .$$

Automorphisms and equivariant stable homotopy theory

Let $G = \mathbb{Z}/p\mathbb{Z}$ for a prime p . Let V be a unitary G -representation with $\dim(V) < \infty$, which contains **at least two non-isomorphic irreps** and

$$D = \text{End}(V)^{\otimes \infty}$$

Let $\mathbb{K}$ be the compact operators on a full G -universe.

Theorem (Bianchi-P.)

The group $\text{Aut}(D \otimes \mathbb{K})$ is a **G -equivariant infinite loop space**. The associated G -equivariant cohomology theory $E_D^*(X)$ satisfies

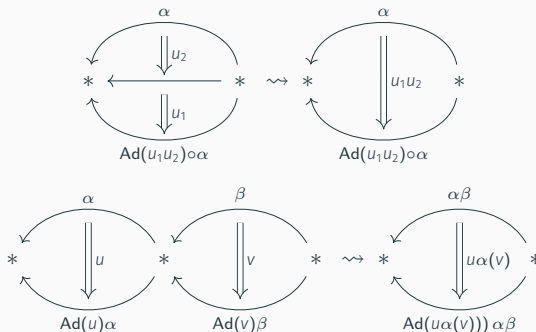
$$E_D^*(X) \cong gl_1(KU^D)_+^*(X)$$

and the first group classifies equivariant bundles over finite G -CW-complexes.

Cocycle actions and category theory

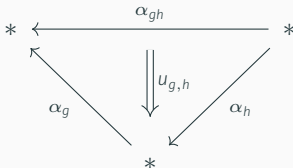
Consider the [top. 2-category](#) $\mathcal{G}_A$ (top. 2-group)

- a single object (denoted by $*$),
- 1-morphisms given by $\text{Aut}(A)$,
- 2-morphisms given by $\text{Aut}(A) \times U(A)$,
where $(\alpha, u) \in \text{Aut}(A) \times U(A)$ gives a 2-morphism $\alpha \Rightarrow \text{Ad}(u) \circ \alpha$.



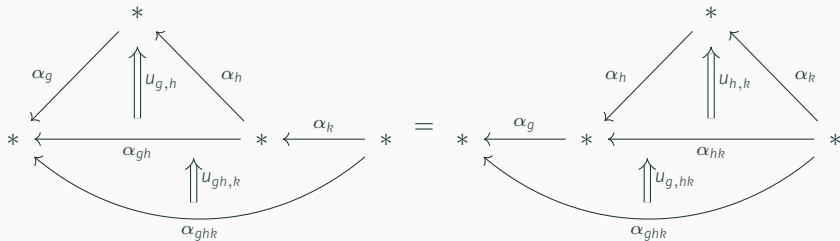
Cocycle actions and category theory (continued)

A **cocycle action** is a **pseudofunctor** $(\alpha, u): \mathcal{C}_G \rightarrow \mathcal{G}_A$



$$\text{Ad}(u_{g,h}) \alpha_{gh} = \alpha_g \alpha_h$$

where the following **tetrahedron** gives the associativity constraint:



Topological crossed modules

Definition

A (*topological*) *crossed module* $\mathcal{G} = (\Gamma_0, \Gamma_1, \partial)$ is

- a *homomorphism* $\partial: \Gamma_1 \rightarrow \Gamma_0$ of top. groups,
- a continuous *left action* of Γ_0 on Γ_1 (denoted by $\alpha(u)$),

such that for $\alpha \in \Gamma_0$ and $u, v \in \Gamma_1$

$$\partial(\alpha(u)) = \alpha \partial(u) \alpha^{-1} \quad , \quad \partial(u)(v) = u v u^{-1} .$$

$\mathcal{G}$ gives rise to a 2-category with a single object $*$ and

- 1-morphisms given by Γ_0 ,
- 2-morphisms given by Γ_1 with $(\alpha, u): \alpha \Rightarrow \partial(u)\alpha$.

Crossed modules associated to C^* -algebras

Let A be a unital C^* -algebra.

Examples

- 1 $\mathcal{G}_A = (\text{Aut}(A), U(A), \text{Ad})$ with $\text{Ad}(u)(a) = uau^*$ and the canonical action of $\text{Aut}(A)$ on $U(A)$.

$$H^1(G, \mathcal{G}_A) \xleftarrow{1:1} \{\text{cocycle actions on } A\} / \sim_{cc}$$

- 2 $P\mathcal{G}_A = (\text{Aut}(A), PU(A), \text{Ad})$ with $PU(A) = U(A)/Z(U(A))$.

$$H^1(G, P\mathcal{G}_A) \xleftarrow{1:1} \{G\text{-kernels on } A\} / \sim_c$$

- 3 If $U(A)$ is connected, then $\widetilde{\mathcal{G}}_A = (\text{Aut}(A), \widetilde{U(A)}, \widetilde{\text{Ad}})$ where $\widetilde{U(A)}$ is the universal cover of $U(A)$.

Lifting obstructions and short exact sequences

Let A be a unital C^* -algebra with $Z(A) = \mathbb{C}$ and let $\mathcal{Z}_A = (\{1\}, \mathbb{T}, \text{triv})$.

The short exact sequence of crossed modules

$$\begin{array}{ccccc} \mathbb{T} & \longrightarrow & U(A) & \longrightarrow & PU(A) \\ \downarrow & & \downarrow \text{Ad} & & \downarrow \text{Ad} \\ 1 & \longrightarrow & \text{Aut}(A) & \xlongequal{\quad} & \text{Aut}(A) \end{array}$$

gives rise to a long(-ish) exact sequence of pointed sets

$$\dots \longrightarrow H^1(G, \mathcal{Z}_A) \longrightarrow H^1(G, \mathcal{G}_A) \longrightarrow H^1(G, P\mathcal{G}_A) \xrightarrow{\text{ob}} H^2(G, \mathcal{Z}_A)$$

Note that $H^2(G, \mathcal{Z}_A)$ only exists, because $\mathbb{T}$ is abelian. In fact,

$$H^2(G, \mathcal{Z}_A) \cong H^3(G, \mathbb{T}) .$$

Mapping algebra to homotopy theory

Definition

The *classifying space* $B^D\mathcal{G}$ is the geometric realisation of the Duskin nerve $N_*^D(\mathcal{G})$.

Each pseudofunctor $(\alpha, u): \mathcal{C}_G \rightarrow \mathcal{G}$ gives a continuous map

$$BG \rightarrow B^D\mathcal{G} .$$

Lemma (Girón Pacheco, Izumi, P.)

This provides a well-defined *natural transformation* of pointed sets

$$H^1(G, \mathcal{G}) \rightarrow [BG, B^D\mathcal{G}] .$$

Classification via cohomology theories

Theorem (Girón Pacheco, Izumi, P.)

Let A be unital separable C^* -algebra. There is a weak homotopy equivalence

$$B^D \mathcal{G}_A \simeq B\text{Aut}_0(A \otimes \mathbb{K}) .$$

Theorem (P.-Tridimas)

Let A be a strongly self-absorbing C^* -algebra. The spaces $B^D \mathcal{G}_A$, $B^D P\mathcal{G}_A$, $B^D \tilde{\mathcal{G}}_A$ all have *natural infinite loop space structures*.

Consequently, the natural transformation

$$H^1(G, \mathcal{G}) \rightarrow [BG, B^D \mathcal{G}]$$

takes values in *abelian groups* for $\mathcal{G} \in \{\mathcal{G}_A, P\mathcal{G}_A, \tilde{\mathcal{G}}_A\}$.

Thank you!